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Date: September 10, 1999

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Printed Name of Person Signing: Gerard P. Norton

Signature of Person Signing: Gerard P. Norton
ROGERS & WELLS LLP

Docket No. 5616-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT OPERATION

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is PCT Request form, Fee
Calculation Sheet and PCT Application for:

Applicants : Kohn, Leonard D., et a.

For : IMMUNE ACTIVATION BY DOUBLE-STRANDED POLYNUCLEOTIDES

- ☒ The Commissioner is hereby authorized to charge the required fee for the submission of the patent application, or any additional fees or credit any overpayments in connection with this application to Deposit Account No. 50-0521. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Gerard P. Norton
Gerard P. Norton
Reg. No. 36,621

MAILING ADDRESS
ROGERS & WELLS LLP
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Date: September 10, 1998

Signature: Heard P Norton

ROGERS & WELLS LLP

Docket No.: 5616/3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT OPERATION

In re Application of:

LEONARD D. KOHN ET AL

RECEIVED

SEP 1 / 1998

TECH CENTER 1600/2900

Serial No.: 09/151,612

Group Art Unit: 1643

Filed: September 11, 1998

Examiner: Not Yet Assigned

For: IMMUNE ACTIVATION BY DOUBLE-STRANDED POLYNUCLEOTIDES

New York, NY 10166-0153

Assistant Commissioner for Patents

Washington, DC 20231

S I R:

Transmitted herewith is ☒ a Preliminary Amendment

☐ an Amendment in the above-identified application.

☐ No additional fee is required.

☒ The fee has been calculated as shown below.

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	73	- 46 #	= 27	x \$00.00	= 0.00
Independent Claims	12	- 6 #	= 6	x \$64.00	= 384.00

AMDTRANS.MER.DOT

NYB 1070832.1

First presentation of multiple independent claims

+

\$29.00

= 210 .00

Total Additional Fee for this Amendment:

594.00

- ☐ A check in the amount of \$ is enclosed.
- ☒ Please charge any additional fees for this Amendment or credit any overpayment to Deposit Account No.50-0521. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Herard R Norton

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Signature: Gerard P. Norton
ROGERS & WELLS LLP

Docket No. 5616-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : LEONARD D. KOHN et al
Serial No. : 09/151,612
Filed : September 11, 1998
For : IMMUNE ACTIVATION BY DOUBLE-STRANDED
POLYNUCLEOTIDES
Examiner : Not Yet Assigned
Group Art Unit : 1643

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sirs:

Please amend the above-identified patent application as follows:

A. In The Claims

Please cancel claims 27 and 28 and replace them with new claims 74 and 75, respectively.

Please amend claims 7, 29 to 34, and 43 as follows:

1. (amended once) The method of claim 1 [6] wherein the double-stranded polynucleotide is DNA leaking from the cell's nucleus or mitochondria after injuring the cell with an exogenous or environmental stimulus.

2. (amended once) The method of claim 75 [28] wherein the cell is a mammalian cell.

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NYA 230943.1